

United States Bankruptcy Court

Southern District Of New York
In re Lehman Brothers Holdings Case No. 08-13555

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Hua An International Balanced Fund
Name of Transferee

Anthracite Balanced Company (46) Limited
Name of Transferor

Name and Address where notices to transferee should be sent: Zhang Haimo
Hua An Fund Management Co Ltd,
2F, New Shanghai International Tower,
360 South Pudong Road, Shanghai
200120 P.R. China

Phone: +86 2138 9699 08
Last Four Digits of Acct.#: _____

Court Claim# (if known): 11014
Amount of Claim: \$42,760,579.90
Date Claim Filed: 09/09/2009

Name and Address where transferee payments should be sent (if different from above):

Phone: _____
Last Four Digits of Acc.#: _____

Phone: +1 345 914 7525
Last Four Digits of Acct.#: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Zhang Haimo
Transferee/Transferee's Agent

Date: 16th Dec 2009

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571